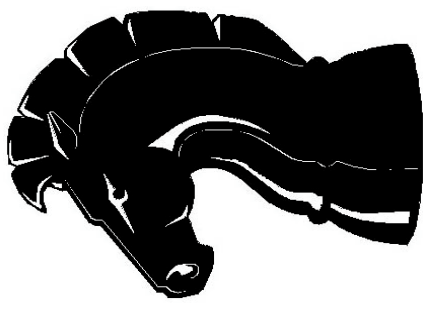
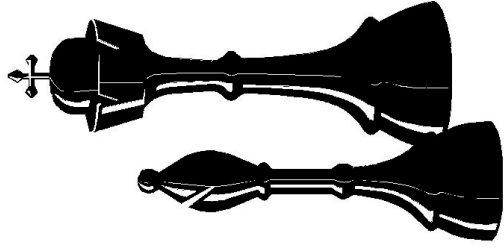


# Complex Problem Solving With Neural Networks: Learning Chess

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Dr. Aleksander Malinowski, Advisor  
Dept. of Electrical and Computer Engineering

**BRADLEY**  
UNIVERSITY

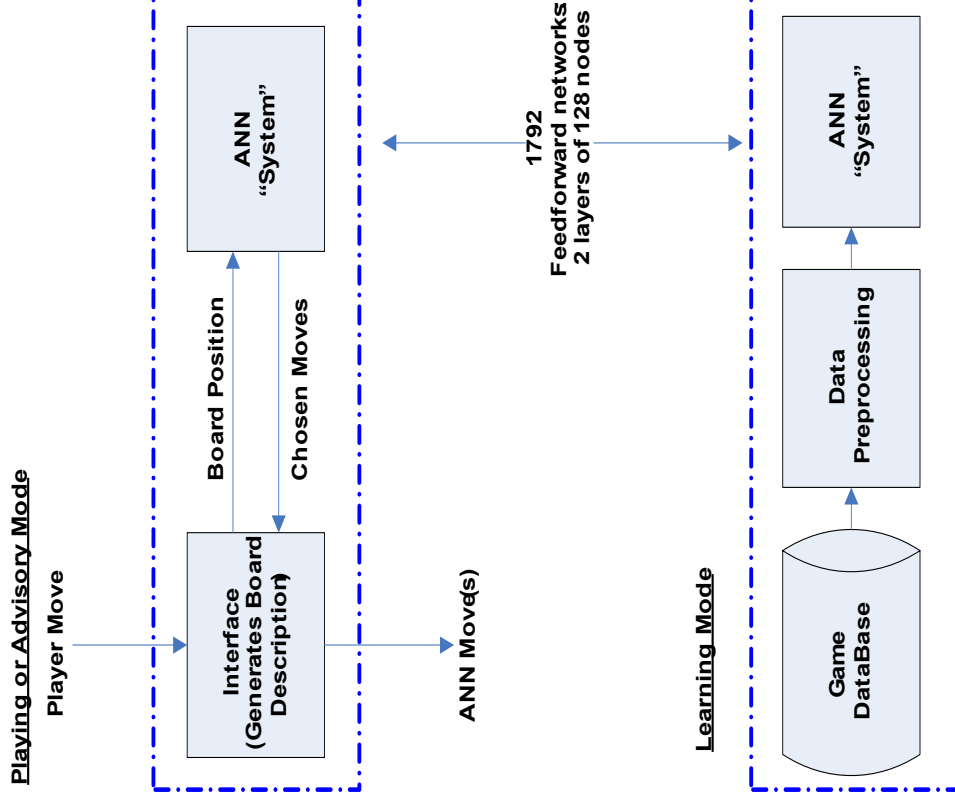


April 19, 2005

# Outline

- Introduction to project and neural networks
- Neural networks and chess
- Neural network system design
- Tools and procedures
  - Preprocessing
  - Training
  - Interface
- Results and conclusions
  - Initial results
  - Creation of the evaluation function
  - Final conclusions

# System Block Diagram



# Neural Networks

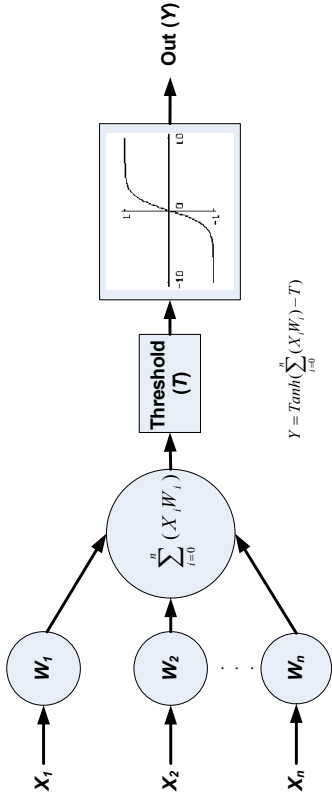


Figure 1A: Node structure with hyperbolic tangent activation function

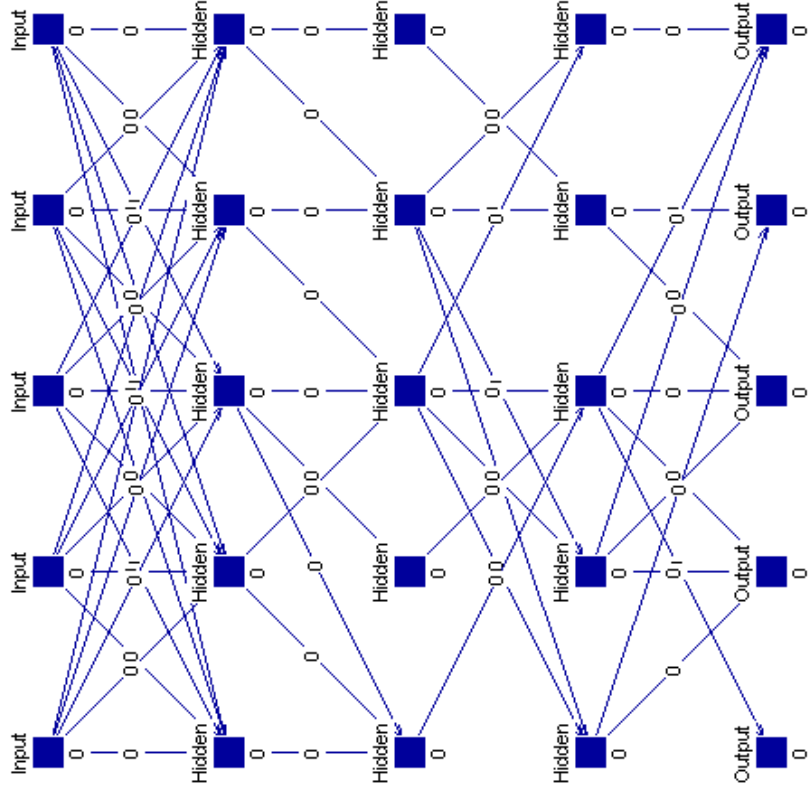


Figure 1B: Simple partially connected neural network structure from Stuttgart Neural Network Simulator (SNNS)

# Chess and Neural Networks?

- Demonstrates complex decision making
- Highly nonlinear problem
- Schemas
- Widely studied
- Massive amounts of available data
- Success with checkers
- Mixed results with chess in the past

# Standards and research

- Numerous applicable “standards”
  - Chess “laws”
    - FIDE (*Fédération Internationale des Échecs*)
    - <http://www.fide.com>
  - PGN file standard
    - [rec.games.chess](http://rec.games.chess), 1994
  - EPD file standard
    - Format supplied by “EPD\_Position.exe” (standard?)
  - Chess engine standard command reference
- Most influential research
  - K. Chellapilla and D.B. Fogel
  - C. Posthoff, S. Schawelski and M. Schlosser

# Parallel Network Designs

- Decrease learning cycle time
- Less destructive learning process
- Multiple “suggested” moves may be returned
- Simpler network architectures
- 2 paradigms for deconstructing chess
  - Geographical “move based”
  - Functional “piece based”
- External logic is applied to both paradigms to filter out illegal moves or impossible moves

# Parallel Network Designs

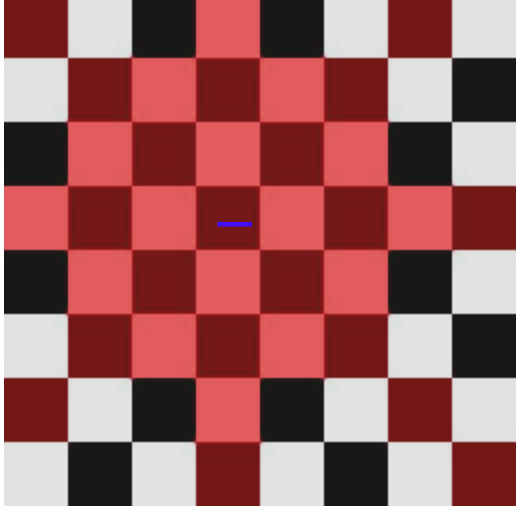
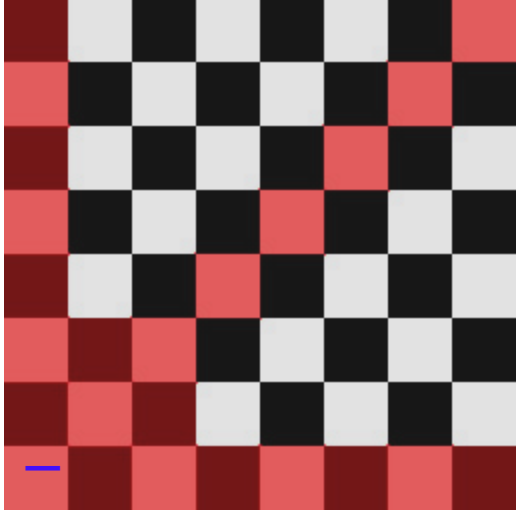


Figure 3: Mapping the legal moves in chess, using overlay consisting of queen + knight moves

Excluding castling, there are 1856 moves possible —ignoring the piece type which is moved

Example: Moving from d3 to d4 is considered ONE possible move, whether the piece is a pawn, queen, king, etc.



# Parallel Network Designs

- starting position  $i$
- final position  $f$
- $m_{if}=f(b_i)$  represents all legal moves for a board position  $b_i$
- game  $g$  of  $n$  moves may be expressed as a set of board positions  $b_i$ ,  $b_i \in g$ , where  $i$  is the position number 0 to  $n$ .

$$L = \sum_{i=0}^n f(b_i) \quad \text{Legal moves for a game of } n \text{ positions}$$

$$M = \sum_{i=0}^{\infty} (L_i) \quad \text{Legal moves for chess (all games)}$$

- In this design, it is required to create an individual ANN structure for all moves  $t$ ,  $t \in M$ .  $M=1856$ , ignoring castling

# Approach A: Geographical

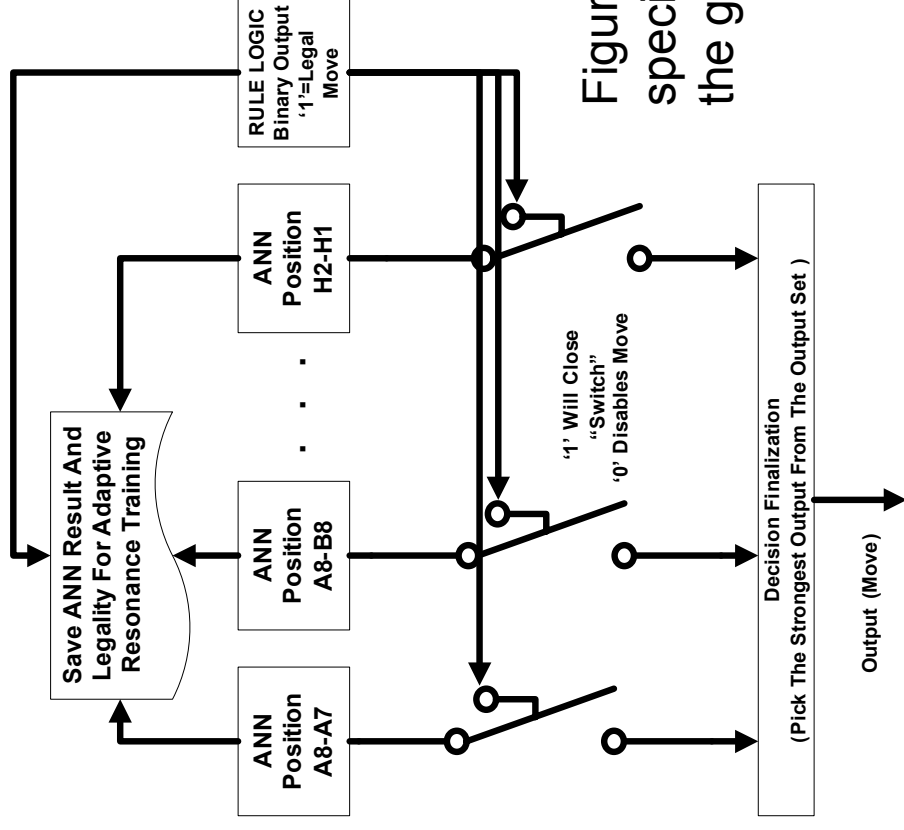


Figure 4: Design with "move specific" neural networks—the geographical approach

# Parallel Network Designs

- starting position  $i$
- final position  $f$
- piece  $p$
- $m_{if}=f(p, b_i)$  represents all legal moves for a piece in  $b_i$
- game  $g$  of  $n$  moves may be expressed as a set of board positions  $b_i, b_i \in g$ , where  $i$  is the move number 0 to  $n$ .

$$L = \sum_{i=0}^n f(p, b_i) \quad \text{Legal moves for a game of } n \text{ moves}$$

$$M = \sum_{i=0}^{\infty} (L_i) \quad \text{Legal moves for a piece (all games)}$$

- In this design, it is required to create an individual ANN structure for all pieces  $p, p=1$  to 16

# Approach B: Functional

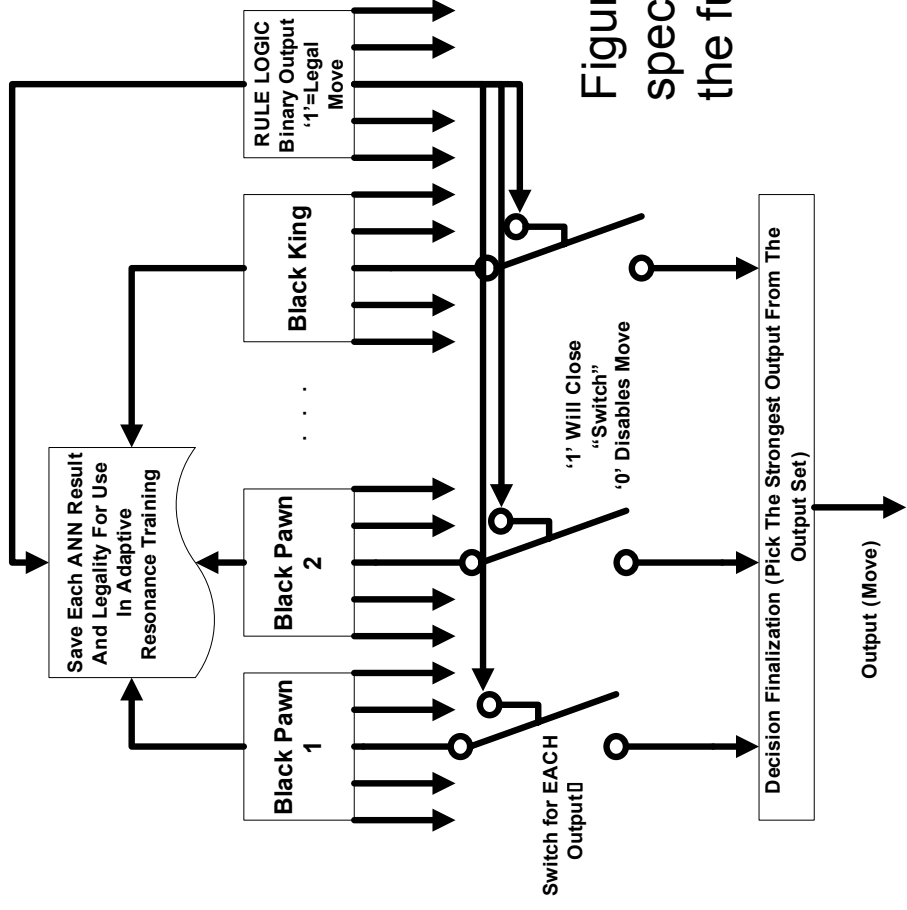
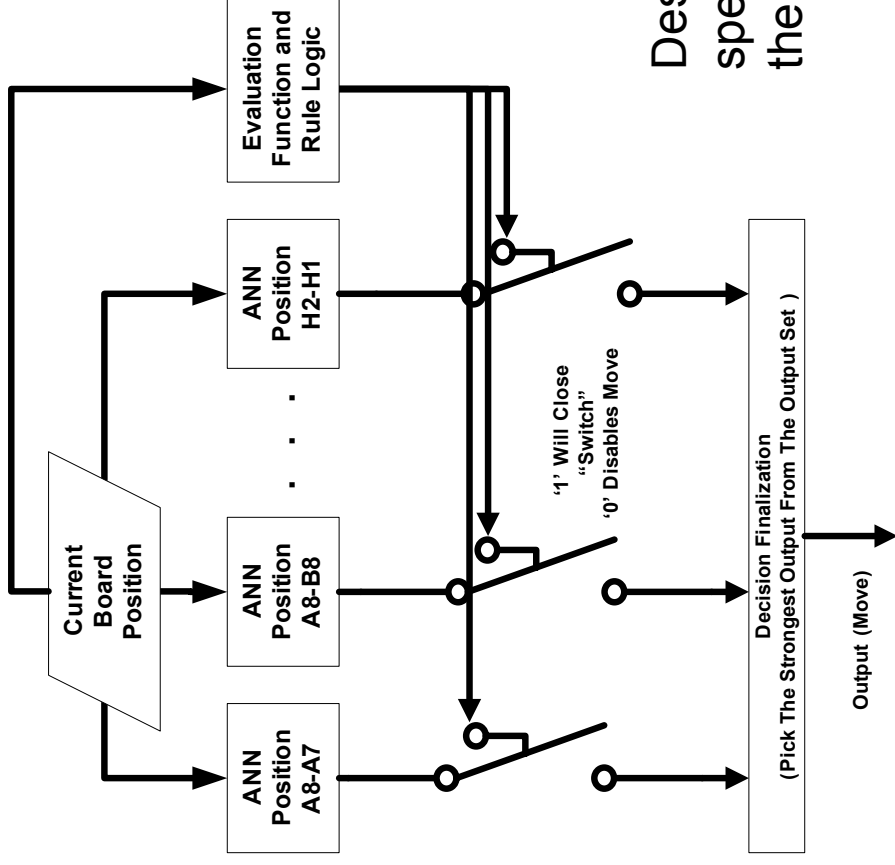


Figure 5: Design with "piece specific" neural networks—the functional approach

# Final ANN System



Design with “move specific” neural networks—the geographical approach

# Data Representations

1. e4 d6 2. d4 Nf6 3. Nc3 g6 4. Nf3 Bg7 5. Be2 O-O 6. O-O Bg4  
7. Be3 Nc6 8. Qd2 e5 9. d5 Ne7 10. Rad1 Bd7 11. Ne1 Ng4 12. Bxg4 Bxg4  
13. f3 Bd7 14. f4Bg4 15. Rb1 c6 16. fxe5 dxe5 17. Bc5 cxd5 18. Qg5 dxe4  
19. Bxe7 Qd4+ 20. Kh1f5 21. Bxf8 Rxf8 22. h3 Bf6 23. Qh6 Bh5 24. Rxf5 gxf5  
25. Qxh5 Qf2 26. Rd1e3 27. Nd5 Bd8 28. Nd3 Qg3 29. Qf3 Qxf3 30. gxf3 e4  
31. Rg1+ Kh8 32. fxe4 fxe4 33. N3f4 Bh4 34. Rg4 Bf2 35. Kg2 Rf5 36. Ne7 1-0

Figure 6: Example of the PGN (algebraic) standard

```
rnbqkbnr/pppppppp/8/8/8/8/PPPPPPPP/RNBQKBNR w KQkq - pm d4;  
rnbqkbnr/pppppppp/8/8/3P4/8/PPP1PPPP/RNBQKBNR b KQkq d3 pm Nf6;  
rnbqkb1r/pppppppp/5n2/8/3P4/8/PPP1PPPP/RNBQKBNR w KQkq - pm Nf3;  
rnbqkb1r/pppppppp/5n2/8/3P4/5N2/PPP1PPPP/RNBQKBNR b KQkq - pm b6;
```

Figure 7: Example of the EPD (string) format

# Input Vector Creation

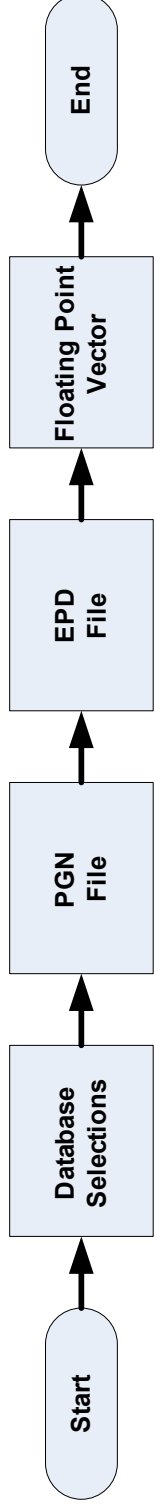
Piece	EPD Character Black, white	Weight Black, white
King	k,K	1.0, -1.0
Queen	q,Q	0.9, -0.9
Rook	r,R	0.5, -0.5
Knight	n,N	0.4, -0.4
Bishop	b,B	0.3, -0.3
Pawn	p,P	0.1, -0.1

“Standard” values for pieces used in the floating point input vector creation

```
# Input pattern 1:
0.5 0.4 0.3 0.9 1 0.3 0.4 0.5
0.1 0.1 0.1 0.1 0.1 0.1 0.1 0.1
0.0 0.0 0.0 0.0 0.0 0.0 0.0 0.0
0.0 0.0 0.0 0.0 0.0 0.0 0.0 0.0
0.0 0.0 0.0 0.0 0.0 0.0 0.0 0.0
0.0 0.0 0.0 0.0 0.0 0.0 0.0 0.0
-0.1 -0.1 -0.1 -0.1 -0.1 -0.1 -0.1 -0.1
-0.5 -0.4 -0.3 -0.9 -1 -0.3 -0.4 -0.5
# output pattern 1:
1
```

Floating point input vector for the initial board position

# Preprocessing

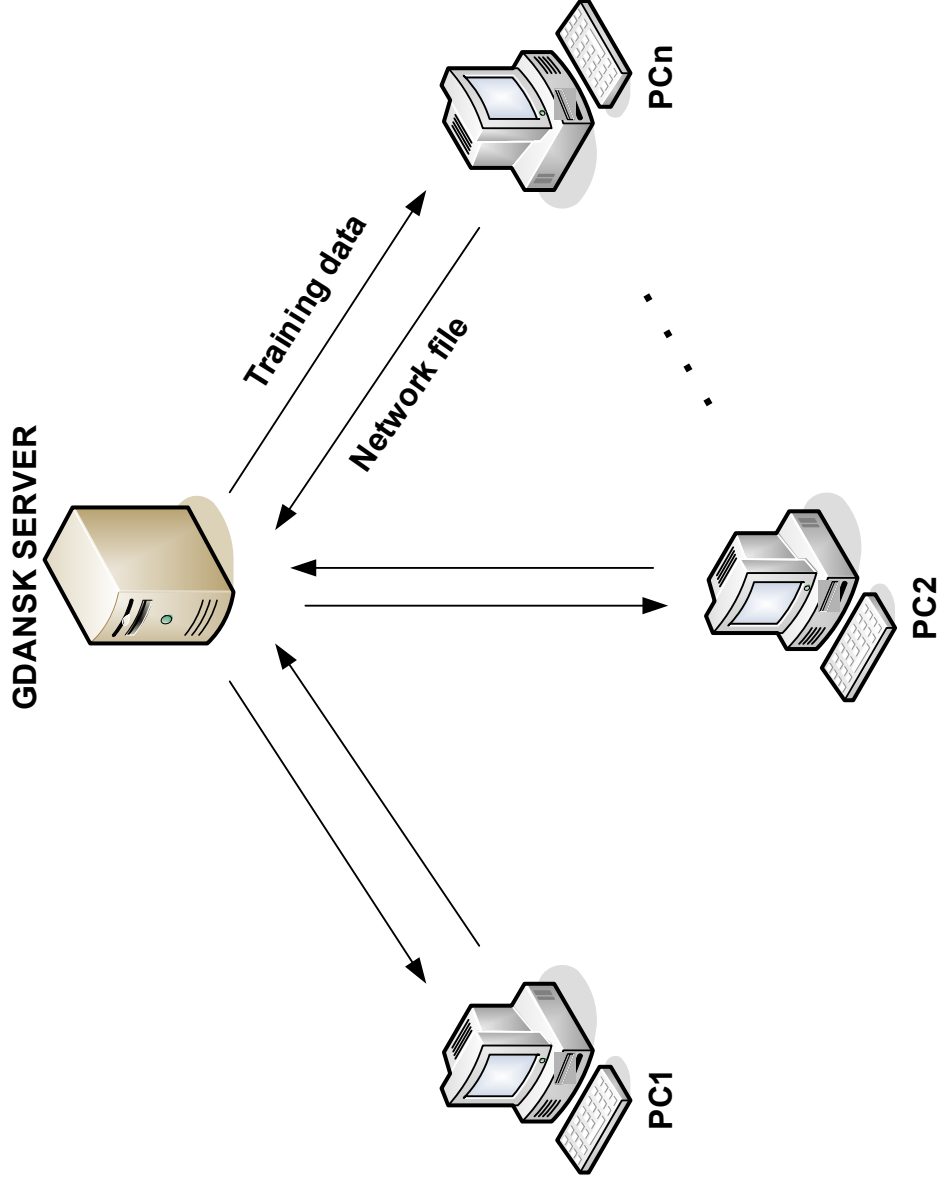


The game data preprocessing procedure

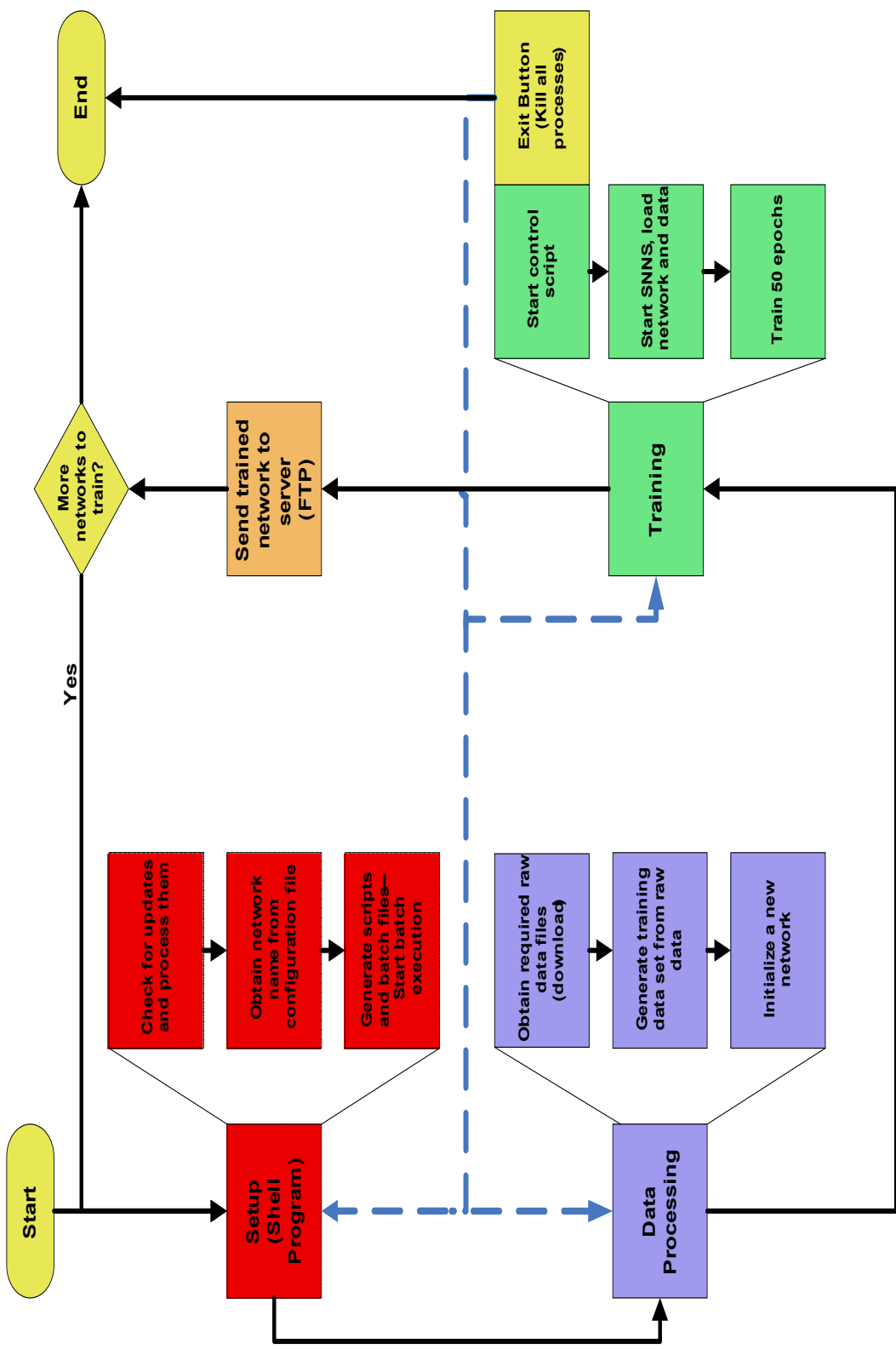
- Board positional data, plus a 'yes' or 'no' decision in regards to making the specific move must be in the floating point vector.
- A mix of 'yes' and 'no' samples will be used in all training sets
- Training sets are randomly chosen
- Do not differentiate between pieces to be moved, only the initial and final positions are important—rule logic is separate



# Training Setup



# Training Process



# Training Problems

- 50 epochs of about 5 thousand patterns
- 1792 networks with 18 minutes per network
- SNNs is NOT the most elegant solution!
  - Java version lacks scripting ability
  - Slow training
  - Variable execution time
- Problems with .NET / lab machines

# Interface Module

The screenshot shows a chess application window titled "Form1". The main area is a chessboard with files a-h and ranks 1-8. The board shows a game in progress with a red knight on c3 and a white pawn on c4. The interface includes a "Stats" panel with the following information:

- EPD String: `rn1qkbnr/pppppppp/8/8/2P5/8/PP1PPPPP/RN1QKBNR`
- Player to Move: [Redacted]
- Number of Plys: 2
- Top Moves:
  - a7-a6 (returns: 0.373190136845005)
  - a7-a6 (returns: 0.880231877568727)
  - g8-f6 (returns: 0.992222805836664)
  - b8-c6 (returns: 0.966047861819676)
  - Suggested move: [b8-c6](#)

The "History" panel shows the message: "Processing... Complete! 20 legal moves identified." A blue button labeled "Done with turn" is located at the bottom right. The status bar at the bottom indicates "Checking: 15:63".

# Initial Findings and Results

- Backprop does not work
- Using resilient backprop instead
- No significant difference between 2 or more hidden layers (in training speed)
- Close output proximity—how to decide?
- **An evaluation function is required**

# Evaluation Function

The screenshot shows a chess evaluation window titled "Form1". The main area is a chessboard with files a-h and ranks 1-8. Red pieces are on ranks 1-2, and white pieces are on ranks 3-8. Blue arrows indicate potential moves for the red knight on c3: b3, d3, and e3. A blue button labeled "Done with turn" is at the bottom right.

**Stats**

EPD String: `rn1qkbnr/pppppppp/8/8/2P5/8/PP1PPPPP/RN1QKBNR`

Player to Move:           

Number of Plys:

**Top Moves**

- a7-a5 (returns: 0.373190136845005)
- a7-a6 (returns: 0.880231877568727)
- g8-f6 (returns: 0.992222805836664)
- b8-c6 (returns: 0.966047861819676)

**Suggested move:** [b8-c6](#)

**History**

Processing...  
Completed!  
20 legal moves identified.

Checking: 15:63

# Evaluation Function 1

- Only top NN outputs will be considered
- Rate the moves, **ignore NN output**
- Consider
  - Material (?M)
  - Threats (?T)
  - Mobility (?O)
  - Vulnerabilities (?V)
- Score =  $a^*?M + b^*?T + c^*?O + d^*?V$
- a,b,c,d are weights (experimentally determined)

# Evaluation Function 2

- Only top NN outputs will be considered
- Rate the moves **with NN output as a factor**
- Consider
  - Material (?M)
  - Threats (?T)
  - Mobility (?O)
  - Vulnerabilities (?V)
  - **NN output (Y)**
- $\text{Score} = a^*?M + b^*?T + c^*?O + d^*?V + Y$
- a,b,c,d are weights (experimentally determined)



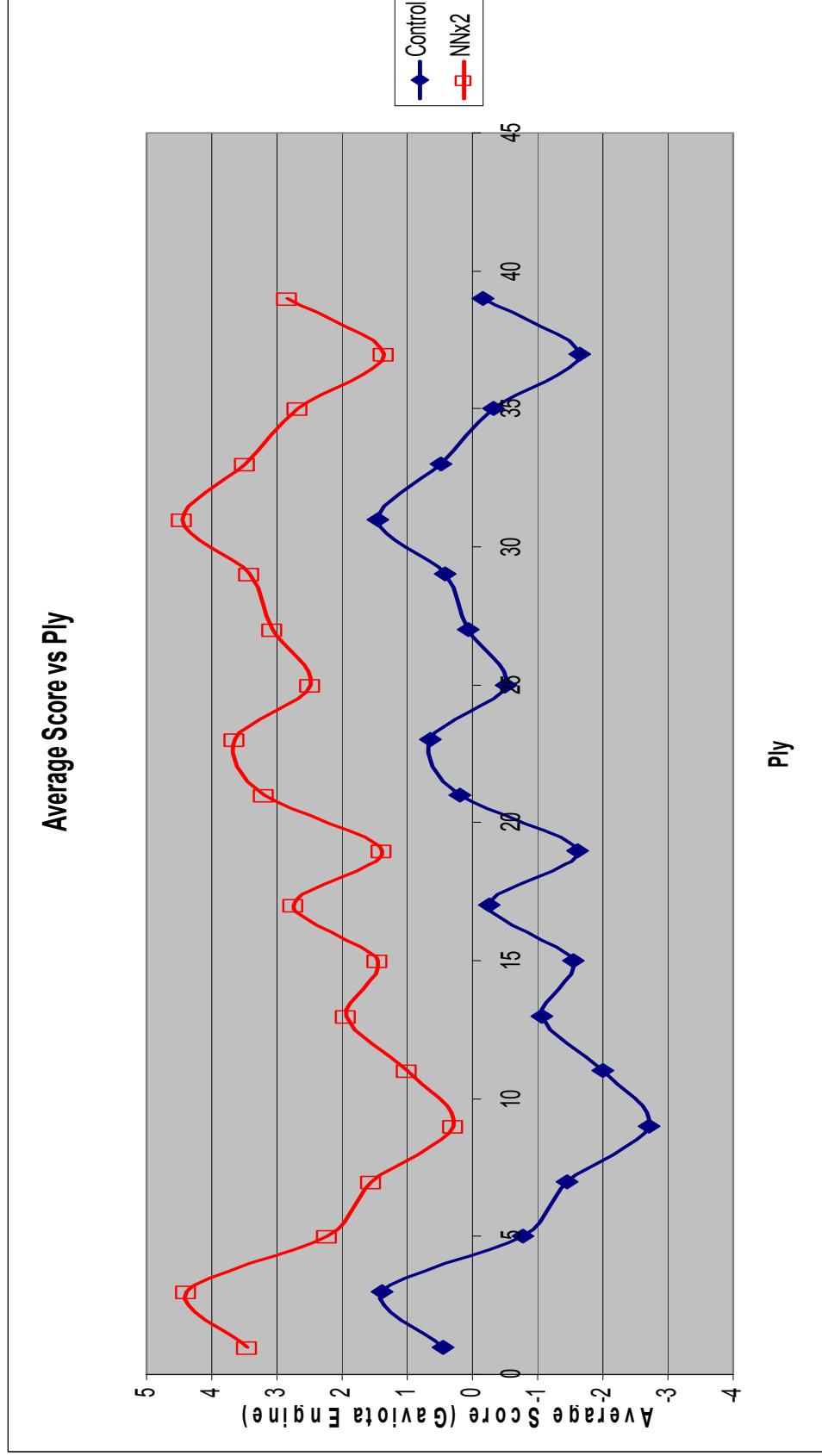
# Final Evaluation Function

- A chess engine is utilized
  - Provides an experimental “baseline”
  - Provides a board evaluation “E” only
- White side chooses move based on “E”
- Black uses  $E+a*Y$
- Y is the neural network output
- The “a” coefficient is found experimentally

# Findings and Results

- Neural network output can contribute to the evaluation of a move
- Performance of the NN system is significantly better than the chess engine alone
- The system performs very poorly in end-game scenarios, possibly indicating a need for piece-specific knowledge
- Modified training may lead to improvements in performance...

# Findings and Results



# Training Vector Coding

- May need to evaluate other formats
  - Binary representation (used in end-game research)
  - Multiple spatial relationships?
- Training must be started to know!

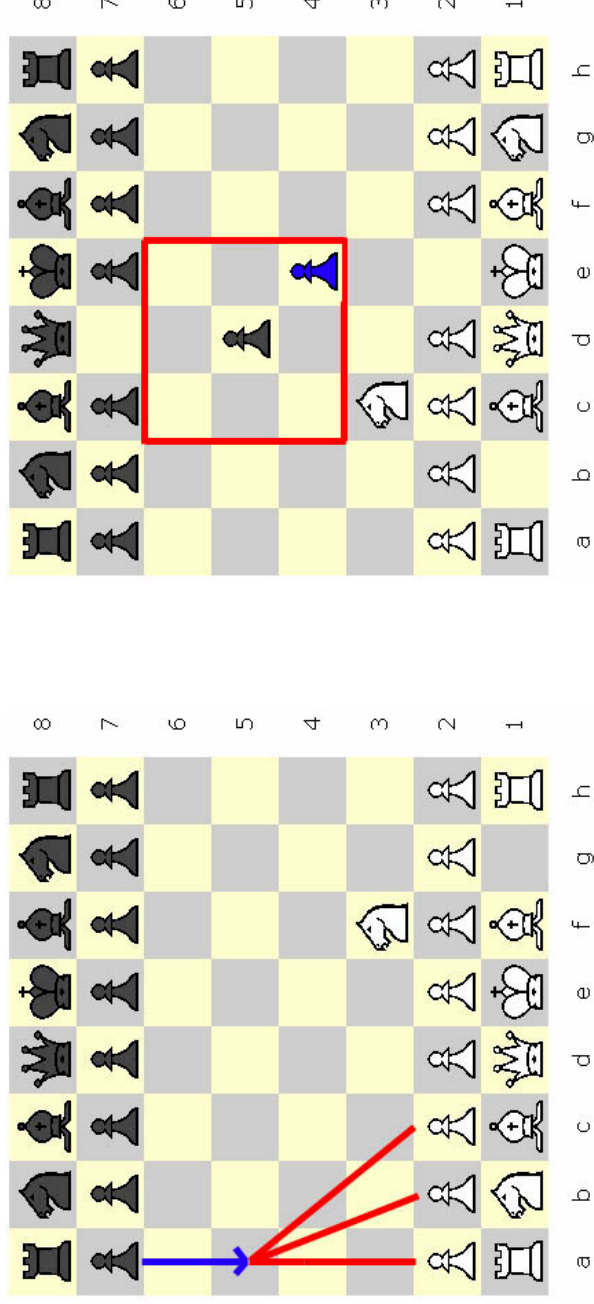
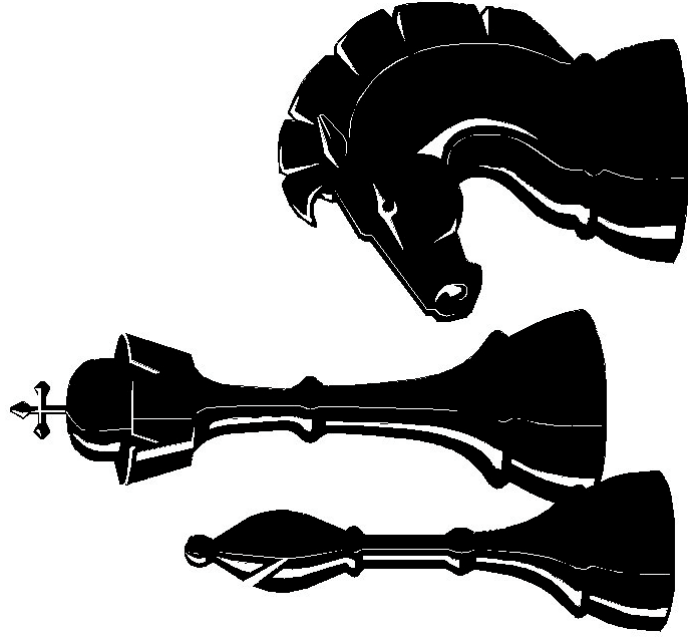


Figure 11: Possible spatial relationships

# Questions?



Additional questions and comments are invited. Please contact:

Jack Sigan, [jsigan@bradley.edu](mailto:jsigan@bradley.edu)

Project Website:

<http://cegt201.bradley.edu/projects/proj2005/innchess/>